

FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN
As on financial year ended on 31.03.2021

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I. REGISTRATION & OTHER DETAILS:		
1	CIN	U33125KA2009PTC049465
2	Registration Date	26th March 2009
3	Name of the Company	Carl Zeiss India (Bangalore) Private Limited
4	Category/Sub-category of the Company	Private company limited by shares Indian non-government company
5	Address of the Registered office & contact details	Plot No. 3, Jigani Link Road, Bommasandra Industrial Area, Bangalore, Karnataka-560099 Phone No: 080-43438000 eMail ID: praveenkumar.jha@zeiss.com
6	Whether listed company	No
7	Name, Address & contact details of the Registrar & Transfer Agent, if any.	Not Applicable

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY			
(All the business activities contributing 10 % or more of the total turnover of the company shall be stated)			
S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	Manufacturing of lenses/measuring equipment	9031	25%
2	Trading medical device/microscope	9011/9018	47%
3	Service	9031/9011/9018	28%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES					
SN	Name and address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1	Carl Zeiss AG, Carl Zeiss Strasse, 2273447, Oberkochen, Germany	NA	Holding	100%	2(87)

IV. SHARE HOLDING PATTERN										
(Equity share capital breakup as percentage of total equity)										
(i) Category-wise Share Holding										

Category of Shareholders	No. of Shares held at the beginning of the year [As on 31-March-2020]				No. of Shares held at the end of the year [As on 31-March-2021]						% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	Total	% of Total Shares	
A. Promoters											
(1) Indian											
a) Individual/ HUF	-	-	-	0.00%	-	-	-	0.00%	-	0.00%	0.00%
b) Central Govt	-	-	-	0.00%	-	-	-	0.00%	-	0.00%	0.00%
c) State Govt(s)	-	-	-	0.00%	-	-	-	0.00%	-	0.00%	0.00%
d) Bodies Corp.	-	-	-	0.00%	-	-	-	0.00%	-	0.00%	0.00%
e) Banks / FI	-	-	-	0.00%	-	-	-	0.00%	-	0.00%	0.00%
f) Any other	-	-	-	0.00%	-	-	-	0.00%	-	0.00%	0.00%
Sub Total (A) (1)	-	-	-	0.00%	-	-	-	0.00%	-	0.00%	0.00%
(2) Foreign											
a) NRI Individuals	-	-	-	0.00%	-	-	-	0.00%	-	0.00%	0.00%
b) Other Individuals	-	-	-	0.00%	-	-	-	0.00%	-	0.00%	0.00%
c) Bodies Corp.	-	4,551,021	4,551,021	100.00%	-	4,551,021	4,551,021	100.00%	4,551,021	100.00%	0.00%
d) Any other	-	-	-	0.00%	-	-	-	0.00%	-	0.00%	0.00%
Sub Total (A) (2)	-	4,551,021	4,551,021	100.00%	-	4,551,021	4,551,021	100.00%	4,551,021	100.00%	0.00%
TOTAL (A)	-	4,551,021	4,551,021	100.00%	-	4,551,021	4,551,021	100.00%	4,551,021	100.00%	0.00%
B. Public Shareholding											
1. Institutions											
a) Mutual Funds	-	-	-	0.00%	-	-	-	0.00%	-	0.00%	0.00%
b) Banks / FI	-	-	-	0.00%	-	-	-	0.00%	-	0.00%	0.00%
c) Central Govt	-	-	-	0.00%	-	-	-	0.00%	-	0.00%	0.00%
d) State Govt(s)	-	-	-	0.00%	-	-	-	0.00%	-	0.00%	0.00%
e) Venture Capital Funds	-	-	-	0.00%	-	-	-	0.00%	-	0.00%	0.00%

f) Insurance Companies	-	-	-	0.00%	-	-	-	-	0.00%	0.00%
g) FIs	-	-	-	0.00%	-	-	-	-	0.00%	0.00%
h) Foreign Venture Capital Funds	-	-	-	0.00%	-	-	-	-	0.00%	0.00%
i) Others (specify)	-	-	-	0.00%	-	-	-	-	0.00%	0.00%
Sub-total (B)(1):-	-	-	-	0.00%	-	-	-	-	0.00%	0.00%
2. Non-Institutions										
a) Bodies Corp.										
i) Indian	-	-	-	0.00%					0.00%	0.00%
ii) Overseas	-	-	-	0.00%	0				0.00%	0.00%
b) Individuals										
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	-	1	1	0.00%		1		1	0.00%	0.00%
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	-	-	-	0.00%					0.00%	0.00%
c) Others (specify)										
Non Resident Indians	-	-	-	0.00%					0.00%	0.00%
Overseas Corporate Bodies	-	-	-	0.00%					0.00%	0.00%
Foreign Nationals	-	-	-	0.00%					0.00%	0.00%
Clearing Members	-	-	-	0.00%					0.00%	0.00%
Trusts	-	-	-	0.00%					0.00%	0.00%
Foreign Bodies - D R	-	-	-	0.00%					0.00%	0.00%
Sub-total (B)(2):-	-	1	1	0.00%	-	1		1	0.00%	0.00%
Total Public (B)	-	1	1	0.00%	-	1		1	0.00%	0.00%
C. Shares held by Custodian for GDRs & ADRs	-	-	-	0.00%	-	-		-	0.00%	0.00%
Grand Total (A+B+C)	-	4,551,022	4,551,022	100.00%	-	4,551,022		4,551,022	100.00%	0.00%

(ii) Shareholding of Promoter

SN	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year				% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged/encumbered to total shares	No. of Shares		% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	Carl Zeiss AG	4,551,021	100.00%		4,551,021		100.00%		0.00%
2			0.00%				0.00%		0.00%
3			0.00%				0.00%		0.00%
4			0.00%				0.00%		0.00%

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

SN	Particulars	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
	At the beginning of the year	01.04.2020		4,551,021	100.00%	4,551,021	100.00%
	Changes during the year			-	0.00%	-	0.00%
				-	0.00%	-	0.00%
				-	0.00%	-	0.00%
	At the end of the year	31.03.2021		4,551,021	100.00%	4,551,021	100.00%

(iv) Shareholding Pattern of top ten Shareholders*(Other than Directors, Promoters and Holders of GDRs and ADRs):*

SN	For each of the Top 10 shareholders	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	Name: Carl Zeiss AG						
	At the beginning of the year	01.04.2020		4,551,021	100.00%	4,551,021	100.00%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year	31.03.2021		4,551,021	100.00%	4,551,021	100.00%
2	Name						
	At the beginning of the year				0.00%		0.00%
	Changes during the year				0.00%		0.00%
	At the end of the year				0.00%		0.00%

(v) Shareholding of Directors and Key Managerial Personnel:

SN	Shareholding of each Directors and each Key Managerial Personnel	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	Name: Shreyas Kumar M						
	At the beginning of the year	01.04.2020		-	0.00%	-	0.00%
	Changes during the year	30.06.2020		1	0.00%	1	0.00%
	At the end of the year	31.03.2021		1	0.00%	1	0.00%

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment.

(Amt. Rs./Lacs)

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount		156,560,000.00	Nil	156,560,000.00
ii) Interest due but not paid		Nil	Nil	-
iii) Interest accrued but not due		Nil	Nil	-
Total (i+ii+iii)	-	-	-	156,560,000.00
Change in Indebtedness during the financial year				
* Addition		Nil	Nil	-
* Reduction		Nil	Nil	-
Net Change	-	-	-	-
Indebtedness at the end of the financial year				
i) Principal Amount		Nil	Nil	-
ii) Interest due but not paid		Nil	Nil	-
iii) Interest accrued but not due		Nil	Nil	-
Total (i+ii+iii)	-	-	-	-

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

SN.	Particulars of Remuneration	Name of MD/WTD/ Manager			Total Amount (Rs./Lac)
		Thomas	Shreyas Kumar M	Anuj Kalra	
		MD	WTD	Director	
1	Gross salary	20,350,000	8,040,000	6,410,000	3,48,00,000
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961				-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961				-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961				-
2	Stock Option				-
3	Sweat Equity				-
	Commission				-

4	- as % of profit - others, specify				-
5	Others, please specify				-
	Total (A)	20,350,000	8,040,000	6,410,000	3,48,00,000
	Ceiling as per the Act	NA	NA		

B. Remuneration to other Directors

SN.	Particulars of Remuneration	Name of Directors			Total Amount
					(Rs/Lac)
1	Independent Directors	NA			
	Fee for attending board committee meetings				-
	Commission				-
	Others, please specify				-
	Total (1)	-	-	-	-
2	Other Non-Executive Directors				-
	Fee for attending board committee meetings				-
	Commission				-
	Others, please specify				-
	Total (2)	-	-	-	-
	Total (B)=(1+2)	-	-	-	-
	Total Managerial Remuneration				-
	Overall Ceiling as per the Act				

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD

SN.	Particulars of Remuneration	Name of Key Managerial Personnel			Total Amount
		Name	Not Applicable		(Rs/Lac)
		Designation	Not Applicable		
1	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961				-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961				-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961				-
2	Stock Option				-
3	Sweat Equity				-
4	Commission - as % of profit - others, specify				-
5	Others, please specify				-
	Total	-	-	-	-

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Company	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty		NIL			
Punishment		NIL			
Compounding		NIL			
B. DIRECTORS					
Penalty		NIL			
Punishment		NIL			
Compounding		NIL			
C. OTHER OFFICERS IN DEFAULT					
Penalty		NIL			
Punishment		NIL			
Compounding		NIL			